

Corporate/Commercial Banking Additional Parties Form



Please note – when filling out this form, please use the tab and arrow keys to move between the relevant fields. Ensure you do not use the return or enter keys.

Your Information

We collect and process various categories of personal and financial information throughout your relationship with us, to allow us to provide our products and services and to run our business. For more information about how we use your personal information, the types of information we collect and process and the purposes for which we process personal information, please read our Privacy Notice provided on our website at <https://www.rbsinternational.com/global/privacy-notice.html>

We may update this from time to time and would encourage you to visit our website regularly to stay informed of the purposes for which we process your information and your rights to control how we process it.

The Bank is legally required to verify your identity and make enquiries about your business before it can consider opening an account. The information requested in this form is necessary to undertake those enquiries. It may be necessary for the Bank to obtain further information from you. You must notify all parties named in this form that their information will be used for the purpose of establishing a banking relationship.

Please use this form to provide details of any additional beneficial owners and/or key principals.

1. Customer information profile

Name of customer (in full)	<input type="text"/>
Account title	<input type="text"/>
IBAN or Account Number	<input type="text"/>
Sort code	<input type="text"/>
GIIN (Global Intermediary Identity No.) if applicable	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

2. Contact details

Please provide a contact name, number and email address, in case of a query.

Name	<input type="text"/>
Position held	<input type="text"/>
Telephone number	<input type="text"/>
Email address	<input type="text"/>

Please confirm if the customer has tax arrears or legal proceedings currently outstanding or has ever been insolvent, bankrupt or had any court proceedings for debt?

Yes No

If yes please provide details on a separate sheet.

3. Beneficial owners (use additional copies of this sheet as required)

Title	Mr <input checked="" type="checkbox"/> Mrs <input checked="" type="checkbox"/> Miss <input checked="" type="checkbox"/> Ms <input checked="" type="checkbox"/> Other <input checked="" type="checkbox"/> If other, please specify <input type="text"/>
First name(s)	<input type="text"/>
Middle name(s) where applicable	<input type="text"/>
Surname	<input type="text"/>

Former name(s) such as maiden name, and any other name(s) used

Date of birth

Male

Female

Occupation

% ownership/control (where not direct ownership please confirm via which entity)

 . %

Principal residential address (inc country)

Postcode

Country of birth

Place (Town/City) of Birth

Government issued personal identification number or unique identifier (e.g. passport, driving licence etc.)

Nationality

Document type

ID number

Expiry date

National Insurance Number (or equivalent)

Other nationalities/citizenships

Does the beneficial owner have more than 2 nationalities/citizenships?

Yes

No

If 'Yes', please provide the additional information to your usual contact at the Bank.

Tax residency – please list below the countries in which the beneficial owner is resident for tax purposes and provide the corresponding tax reference numbers or local equivalent.

Country

Tax reference number

Is the key principal tax resident in more than 2 countries?

Yes

No

If 'Yes', please provide the additional information to your usual contact at the Bank.

Please provide confirmation of the revised Beneficial Ownership (BO) structure detailing all Relevant and Ultimate BOs. You can provide this in the form of a structure chart or in a BO declaration letter.

4. Key principals

In this section, please provide details for all directors, members, partners, beneficiaries, trustees and corporate entities connected to the customer which may include e.g. General Partner, Corporate Director, Company Secretary.

If the corporate bodies/entities are not regulated we will require additional information on the individual directors/beneficial owners and your usual contact at the Bank will be able to advise you of our requirements.

Organisational structure: Please provide a revised signed and dated organisational/structure chart showing the group structure and the jurisdictions of the entities if necessary, and the ultimate controlling party or parties.

Please provide copy of latest Partnership agreement / Limited Partnership Agreement if this has changed with the introduction of new parties.

Please check box to indicate attached

4.1 Please complete this section where the key principal is an individual (use additional copies of this sheet as required)

Title Mr Mrs Miss Ms Other If other, please specify

First name(s)

Middle name(s) where applicable

Surname

Former name(s) such as maiden name, and any other name(s) used

Capacity, e.g. director, trustee, company secretary, etc.

Principal Residential address

Postcode

Date of birth Male Female

Country of birth

Place (Town/City) of Birth

Occupation

Government issued personal identification number or unique identifier (e.g. passport, driving licence etc.)

Nationality

Document type

ID number

Expiry date

National Insurance Number (or equivalent)

Other nationalities/citizenships

Does the key principal have more than 2 nationalities/citizenships?

Yes No

If 'Yes', please provide the additional information to your usual contact at the Bank.

Tax residency – please list below the countries in which the individual is resident for tax purposes and provide the corresponding tax reference numbers or local equivalent.

Country	<input type="text"/>	Tax reference number	<input type="text"/>
	<input type="text"/>		<input type="text"/>

Is the individual tax resident in more than 2 countries?

Yes No

If 'Yes', please provide the additional information to your usual contact at the Bank.

4.2 Please complete this section where the key principal is a corporate entity (use additional copies of this sheet as required)

Entity name (full name)

Capacity, e.g. corporate director, corporate trustee, etc.

GIIN (Global Intermediary Identity No.) if applicable

Principal business address

Postcode

Registered address (if different from the principal business address)

Postcode

Telephone number (inc STD)

Formation date

Country of registration/formation

Registration number

Tax residency – please list below the countries in which the Corporate Entity is resident for tax purposes and provide the corresponding tax reference numbers or local equivalent.

Country	<input type="text"/>	Tax reference number	<input type="text"/>
	<input type="text"/>		<input type="text"/>

Is the Corporate Entity tax resident in more than 2 countries?

Yes No

If 'Yes', please provide the additional information to your usual contact at the Bank.

5. Marketing information

RBS International would like to keep you informed about products, services and offers that we believe may be of interest to you. If you would prefer not to receive this information by any or all of the methods below, please place a cross in the relevant boxes (if you leave these boxes blank we will assume that you are happy to be contacted by these methods):

Letter Phone Email Text

RBS International will not share your information with third parties for their own marketing purposes without your permission.

Communications about your account

Notwithstanding your marketing choices above, we will contact you with information relevant to the operation and maintenance of your account by a variety of means including online banking, mobile banking, email, text message, post and/or telephone.

6. Your agreement

Please note – this section must be signed by the Authorised Signatories identified in the Signing Rules in the Bank Mandate with the highest level of signing authority. These Authorised Signatories must have authority from the Customer to sign for unlimited amounts.

The Account Terms are available at rbsinternational.com/terms using product code RBSICAT and the Privacy Notice is available at rbsinternational.com/privacynotice. These are important. Please save or print a copy and read the document(s) carefully.

Alternatively, please ask your usual contact at the Bank for a copy of any of our documentation.

By signing below:

- you agree to the Account Terms.
- you confirm the details provided are correct and agree to notify us of any changes.
- you will notify any parties named in this form that the information will be used by us for the purpose of establishing a banking relationship.

Authorised Signatory

Name (in full)

Position held

Date

Authorised Signatory

Name (in full)

Position held

Date

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RBS International is a participant in the Jersey Banking Depositor Compensation Scheme. The Scheme offers protection for eligible deposits of up to £50,000. The maximum total amount of compensation is capped at £100,000,000 in any 5 year period. Full details of the Scheme and banking groups covered are available on the States of Jersey website www.gov.je/dcs or on request.

RBS International is a participant in the Guernsey Banking Deposit Compensation Scheme. The scheme offers protection for ‘qualifying deposits’ up to £50,000, subject to certain limitations. The maximum total amount of compensation is capped at £100,000,000 in any 5 year period. Details are available from: Website: www.dcs.gg. Telephone: +44 (0)1481 722756. Post: P.O. Box 380, St Peter Port, GY1 3FY. Deposits made in a Guernsey Branch will not be covered by any equivalent scheme in any jurisdiction outside of the Bailiwick of Guernsey.

RBS International is a member of the Isle of Man Depositors’ Compensation Scheme (DCS) as set out in the Depositors’ Compensation Scheme Regulations 2010. To understand your eligibility under the scheme you may wish to visit <https://www.iomfsa.im/consumer-material/isle-of-man-depositors-compensation-scheme-dcs/>

Under the scheme (s) customers are entitled to make only one claim per licensed entity regardless of the number of brands or trading names contained within that licensed entity and customers are entitled to make one claim only per Not all accounts will be covered by these schemes, further details of these schemes are available on request.

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In the UK Eligible deposits are protected up to a total of £85,000 by the Financial Services Compensation Scheme, the UK’s deposit guarantee scheme. Any deposits you hold above the limit are unlikely to be covered. Please ask for further information or visit www.fscs.org.uk

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